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Lorentz-violating massive gravity in curved space

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ABSTRACT: In models of modified gravity, extra degrees of freedom usually appear. They must be removed from the spectrum because they may indicate the presence of instabilities and because otherwise the model might not agree with observation. In the present letter, we will discuss a model that modifies General Relativity through the addition of a Lorentz-violating potential-like term for the metric. No additional propagating modes and no classical instabilities are present. The model departs from GR only in the extreme infrared region, and the cosmological evolution contains a bounce when the size of the Universe is of the same order of the added deformation.

KEYWORDS: Classical Theories of Gravity, Space-Time Symmetries.



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1. Introduction

The gravitational interaction is well described by General Relativity within a vast range of distance scales: from solar system to galaxy cluster sizes. Still questions arise on its applicability at microscopic, where quantum effects are no longer negligible, and superhorizon distances. At the far end of the length scale, in the deep infrared region, it is not obvious whether gravity should behave the same as it does at much shorter distances. Rather, the discovery of (recent) cosmic acceleration from supernovae data opens up the possibility that gravity could be very different at these scales from what we experience every day. It could be that the gravitational field is very slightly massive, and the fact was simply overlooked, because the effects are naïvely negligible at scales shorter than the characteristic Compton wavelength. But, it was shown [1] that a simple mass term, quadratic in the fluctuation of the metric, is not acceptable. The classical Hamiltonian — constructed in the ADM formalism — is not bounded from below. The model is not classically stable.

Modifying gravity in the IR regime seems to be rather non-trivially constrained. In the past, several attempts to build classically stable models of IR modified gravity have been made. For instance, extra-dimensions may manifest themselves only at very large distances, like in the DGP model [2]. Or, without invoking extra-dimensions, the presence of non-local interactions could change the gravitational field in the IR regime, as in [3]. Or again, a similar effect can be induced by Lorentz-violating terms, like in [4-7].

In [8], we considered a class of models of the latter group. We were able to construct models that are four-dimensional and local, and have the property of being both stable in the IR and of departing from standard gravitational interaction only at large distances. But this was only possible at the price of explicitly breaking the Lorentz symmetry of the action, which had the effect of introducing new phenomena, like instantaneous interactions. These effects can be within the experimental bound by tuning the parameters, and more importantly they do not violate causality.

In the present letter, we will extend the previous discussion by looking at effective models over a constantly curved background. GR will be deformed with a small Lorentzviolating term. The model is classically stable and behaves like a de Sitter space-time at short scales. At larger and larger distances, the departure from de Sitter becomes more and more pronounced.

A rather generic feature of the model is the tendency to stop the cosmological evolution and to lead towards a contracting phase. The effect of the deformation is opposite to that of the cosmological constant, for, as a cosmological constant increases the expansion speed, the deformation decreases it. After the expansion is stopped, i.e., when the Hubble parameter goes to zero, the Universe will go through a contracting phase, leading to a late-time (contracting) de Sitter phase.

This picture will be modified by quantum corrections. In particular, out of the gravitational field, particles are produced when the Hubble parameter changes. The effect of quantum produced particles is to create at late times a space-time singularity of the same kind as the one of a supercritical Universe. In the latter case, if the matter energy density is greater than a critical value, the scale factor grows up to a maximum size and then contracts towards a "Big Crunch" singularity: at some finite moment in the future the scalar curvature diverges. In the present case, instead, no criticality condition is present. The cosmological evolution is not stopped by the matter density, but by the deformation we introduced, therefore, no matter how few particles are produced by quantum effects, a singularity will always be reached.

The model, which is classically stable, is unstable under quantum correction. This instability is milder, in fact its time scale can be made parametrically much greater than the present age of our Universe.

The present letter is organized as follows: we will firstly discuss the general properties of the gravitational field in models, where a Lorentz-violating interaction for the metric is added, explicitly showing the absence of additional degrees of freedom and the presence of instantaneous interaction.

We will then study the cosmological solution of the (deformed) Einstein equation for two specific choices of the Lorentz-violating interaction. One will give rise to a bouncing Universe, while the other to a cyclic Universe of parametrically long period. In both cases, we will consider the quantum production that occurs at the bounce, and its effect on the cosmological evolution.

The cyclic model will then be discussed in the context of inflation. We will find that the parameters of the model are constrained by the usual cosmological bounds. In particular, they have to be exponentially smaller than the Hubble scale during inflation for matching density perturbation with the values observed in the CMB.

Finally, we will see the effects of the present class of deformations when in conjunction with the massive term studied in our previous work [8].

2. General overview

From a particle physicist's point of view, gravity is the interaction that emerges upon gauging the Lorentz symmetry of Special Relativity. As for any gauge theory, it should be possible to describe the low energy effective theory, which arises from the breaking of a part (or the whole) of the gauge symmetry as a result of some high energy dynamics.

In gravity though, unexpected constraints arise for the low energy theory. It was noted by Boulware and Deser [1] that, have the classical non-linearities of the gravitational selfinteraction taken into account, the Hamiltonian would generally not be bounded in models of massive gravity. Thus the Boulware-Deser (BD) instability appears.

The origin of the instability — and therefore how to render stable a model of modified gravity as originally described in [8] — can be easily understood in the ADM formalism [9], that is the Hamiltonian formalism for gravity.

Let us construct the GR Hamiltonian. By foliating the space-time with hypersurfaces Σ_t for a time variable t, we can replace the four-dimensional metric with the following three-dimensional variables

$$\gamma_{ij} \equiv g_{ij}, \quad N \equiv (-{}^{(4)}g^{00})^{-1/2} \text{ and } N_i \equiv {}^{(4)}g_{0i}.$$
 (2.1)

N is known as the lapse function, and N_i as the shift function; γ_{ij} is the induced metric on Σ_t . In term of these variables, we can write the four-dimensional ones as

$$\sqrt{-{}^{(4)}g} \equiv N\sqrt{\gamma}\,,\tag{2.2}$$

$$^{(4)}\mathcal{R} \equiv {}^{(3)}\mathcal{R} + \mathcal{K}_{ij}\mathcal{K}^{ij} - \mathcal{K}^2, \qquad (2.3)$$

where \mathcal{K}_{ij} is the extrinsic curvature on Σ_t , defined as

$$\mathcal{K}_{ij} \equiv \frac{1}{2N} \left[\dot{\gamma}_{ij} - \nabla_i N_j - \nabla_j N_i \right] \,. \tag{2.4}$$

The canonical momentum $\pi^{ij} \equiv \delta \mathcal{L} / \delta \dot{\gamma}_{ij}$ is related to \mathcal{K}_{ij} by the relation

$$\pi^{ij} = \sqrt{\gamma} \left[\mathcal{K}^{ij} - \mathcal{K}\gamma^{ij} \right] \,. \tag{2.5}$$

We now have all the ingredients to write the Hamiltonian for the Einstein-Hilbert Lagrangian

$$\mathcal{L} = \sqrt{g}\mathcal{R} \quad \to \quad \mathcal{H} \equiv \pi^{ij}\dot{\gamma}_{ij} - \mathcal{L}|_{\dot{\gamma}_{ij}\mapsto\pi_{ij}} = \sqrt{\gamma}\left[NR^0 + N_iR^i\right], \tag{2.6}$$

where

$$R^{0} \equiv -{}^{(3)}\mathcal{R} + \gamma^{-1} \left(\pi_{ij} \pi^{ij} - \frac{1}{2} \pi^{2} \right) ,$$

$$R^{i} \equiv -2D_{j} (\gamma^{-1/2} \pi^{ij}) ,$$
(2.7)

and D_j is the covariant derivative defined with respect to γ_{ij} .

Both N and N_i appear linearly in the Hamiltonian, thus they are Lagrange multipliers. The variation with respect to them leads to the constraints — $R^0 = 0$ and $R^i = 0$ — on the propagating degrees of freedom. The Hamiltonian is exactly zero on the surface of the constraints, hence the theory is trivially stable: the energy density of the system is bounded from below.

We will show the emergence of the BD instability for deformed Einstein-Hilbert actions. For sake of definiteness, we shall consider the Pauli-Fierz (PF) model [10].

The PF term is the most generic deformation that is quadratic in the fluctuation of the metric over a particular background, and it is Lorentz-symmetric. It describes a mass for the gravitational field

$$-\frac{1}{2}m_{\rm PF}^2[h_{\mu\nu}^2 - (h_{\mu}^{\mu})^2] = -\frac{1}{2}m_{\rm PF}^2[h_{ij}^2 - h^2 - 2N_i^2 + 2h(1 - N^2 - N_i^2)].$$
(2.8)

In the equality, the field $h_{\mu\nu}$ is expressed in terms of the three-dimensional variables (2.1). The tensor field is defined as $h_{\mu\nu} \equiv g_{\mu\nu} - \hat{g}_{\mu\nu}$ over a particular background metric $\hat{g}_{\mu\nu}$. The indices are contracted using $\hat{g}^{\mu\nu}$, i.e., $h^{\mu}_{\ \mu} \equiv \hat{g}^{\mu\nu} h_{\mu\nu}$, $h \equiv \hat{g}^{ij} h_{ij}$, and so on.

It is evident that the lapse and the shift functions cease to be Lagrange multipliers, and the variations with respect to them lead to algebraic equations for them, rather than constraints on the propagating degrees of freedom, as in GR. This is hardly unexpected. A massive field is known, from the Lorentz group representation, to propagate a number of degrees of freedom different from that of a massless field.

But, if we now study closely the equations

$$N = \frac{\sqrt{\gamma}R^0}{2m_{\rm PF}^2h},\tag{2.9}$$

$$N^{i} = \frac{1}{2m_{\rm PF}^{2}} (\hat{g}^{ij} - h\gamma^{ij})^{-1} R^{j}, \qquad (2.10)$$

we notice that the Hamiltonian, after substituting the above values for N and N_i ,

$$\mathcal{H} = \frac{1}{4m_{\rm PF}^2} \left[\frac{(\sqrt{\gamma}R^0)^2}{h} + \gamma R^i (\hat{g}^{ij} - h\gamma^{ij})^{-1} R^j \right] + \frac{1}{2} m_{\rm PF}^2 (h_{ij}^2 - h^2 + 2h), \qquad (2.11)$$

is unbounded, as it is readily seen by considering the limit $h \to 0^-$, while keeping $\sqrt{\gamma}R^0$ and $R^i = 0$ fixed.

It appears that models with N^2 -terms — such as PF — are generally unstable. An easy way-out is obviously to consider more general classes of deformations, in particular the ones linear in N. It should be noted though, that the N^2 -term in the PF Hamiltonian (2.8) comes from the time component of the tensor $h_{\mu\nu}$. From the definition of the lapse function (2.1), it follows that $h_{00} = g_{00} - \hat{g}_{00} \sim N^2$. Thus, removing such a term would lead to an explicit breaking of the Lorentz symmetry in the action. For a detailed discussion of a PF-like model with such a property we remind to our previous letter [8].

The class of models we would like to discuss in the present work has the following Hamiltonian

$$\mathcal{H} = \sqrt{\gamma} \left[NR^0 + N_i R^i + 2\Lambda N - 2m^2 N f(\sqrt{\gamma}) \right] , \qquad (2.12)$$

where $f(\sqrt{\gamma})$ is some function of the determinant of the spatial metric γ_{ij} as in (2.1), and we have assumed the presence of a cosmological constant Λ . The deformation we added is modelled to be linear in N, thus the lapse function is still a Lagrange multiplier. As in GR, the Hamiltonian is exactly zero on the solution of the constraints. The model is therefore (classically) stable.

This model, as well as the ones presented in [8], should be thought of as an effective low energy theory. Like in the Higgs mechanism, the gauge symmetry is broken at low energy, hence we are assuming the presence of some UV-physics that spontaneously breaks the Lorentz symmetry of the action, like in the recent models [4, 11-17].

The introduction of a deformation could, in principle, lead to the propagation of more degrees of freedom, some of which may develop into instabilities for the theory. We will show that only a tranverse-traceless tensor mode is propagating. The explicit breaking of the Lorentz symmetry will instead show up as instanteneous interactions, as first noticed in [8]. To explicitly study the degrees of freedom, we turn now to the Lagrangian formalism.

The Lagrangian can be found by performing a Legendre transformation on (2.12)

$$\mathcal{L} = \sqrt{-g} \left[\mathcal{R} - 2\Lambda + 2m^2 f(\sqrt{\gamma}) \right] \,, \tag{2.13}$$

and it should be noted that we are forced to keep a somewhat mixed formalism. The deformation is written in terms of the determinant of γ_{ij} , hence retaining in part the notion of the three-dimensional variables (2.1) used in the ADM formalism.

The equations of motion are

$$G_{\mu\nu} + \left[\Lambda - m^2 f(\sqrt{\gamma}) \left(1 + \sqrt{\gamma} \frac{f'(\sqrt{\gamma})}{f(\sqrt{\gamma})}\right)\right] g_{\mu\nu} - m^2 \frac{\sqrt{\gamma} f'(\sqrt{\gamma})}{|g^{00}|} \delta^0_\mu \delta^0_\nu = 0, \qquad (2.14)$$

where $G_{\mu\nu}$ is the Einstein tensor defined as $G_{\mu\nu} \equiv \mathcal{R}_{\mu\nu} - 1/2 \mathcal{R} g_{\mu\nu}$, and the last term is zero for $\mu, \nu \neq 0$.

The deformed action (2.13) is not invariant under Lorentz transformations anymore. The determinant of γ_{ij} will transform under $x_{\mu} \to \Lambda^{\alpha}_{\mu} x_{\alpha}$ as it can be explicitly checked. The introduced deformation breaks the Lorentz invariance of the action down to the rotational group. The measure on the hypersurface Σ_t is invariant under diffeomorphisms acting on its own world-volume.

The breaking of the Lorentz symmetry stems out from the presence of a preferred frame in the model. In the construction of the GR Hamiltonian, a frame is chosen when picking up a particular foliation Σ_t of the space-time. The choice is formal in GR, where the action is invariant under the entire group of the diffeomorphisms, but it is not in the theory at hand.

The deformation we consider is a function of the spatial part γ_{ij} of the metric tensor only. To define γ_{ij} , we need to choose a direction u_{μ} and γ_{ij} be the induced threedimensional metric on the hypersurface orthogonal to u_{μ} . The preferred frame is defined therefore by u_{μ} . Any transformation orthogonal to u_{μ} is a symmetry of the model, as briefly stated before.

Because of this remaining invariance of the action, some components of the perturbation $h_{\mu\nu}$ over a background metric $\hat{g}_{\mu\nu}$ are not independent to the others. For sake of simplicity, we shall consider $\hat{g}_{\mu\nu}$ to be the Minkowski metric $\eta_{\mu\nu}$. It can be shown that the results we are presenting do not depend on this choice.

The redundancy in the components of $h_{\mu\nu}$ can be fixed by a gauge choice, for instance $\partial^i h_{ij} = 0$. It fixes the longitudinal modes of h_{ij} , but not its trace. The action is indeed not invariant under the transformation $h^i_i \rightarrow h^i_i + 2\partial^i \xi_i$, hence the trace h^i_i can not be fixed by a gauge choice.¹

The gauge fixing is not the only condition we have to impose on $h_{\mu\nu}$. The requirement that the equations of motion are covariantly conserved

$$(2f' + \sqrt{\gamma}f'')\partial_{\mu}\sqrt{\gamma} + \delta^{0}_{\mu}g^{0\alpha}\partial_{\alpha}\frac{\sqrt{\gamma}f'}{|g^{00}|} - g^{\alpha\beta}(\Gamma^{0}_{\alpha\beta}\delta^{0}_{\mu} + \Gamma^{0}_{\alpha\mu}\delta^{0}_{\beta})\frac{\sqrt{\gamma}f'}{|g^{00}|} = 0, \qquad (2.15)$$

which is known as Proca condition for massive vector fields, constraints even further the independent components of $h_{\mu\nu}$.

For perturbations over a Minkowski background, (2.15) becomes

$$f_0'\partial^i h_{0i} = 0, (2.16)$$

$$\left(f_0' + \frac{1}{2}f_0''\right)\partial_k h_i^i + \frac{1}{2}f_0'\partial_k h_0^0 = 0, \qquad (2.17)$$

where $f'_0 \equiv f'(\sqrt{\hat{\gamma}})$ and $f''_0 \equiv f''(\sqrt{\hat{\gamma}})$.

The study can be done easily using the following decomposition, common in the study of cosmological perturbations [19],

$$h_{\mu\nu}^{(s)} = \begin{pmatrix} \phi & \partial_i B \\ \partial_j B & \eta_{ij}\chi + \partial_i \partial_j E \end{pmatrix}, \quad h_{\mu\nu}^{(v)} = \begin{pmatrix} 0 & \psi_i \\ \psi_j & \partial_{(i}F_{j)} \end{pmatrix}, \quad h_{\mu\nu}^{(t)} = \begin{pmatrix} 0 & 0 \\ 0 & h_{ij}^{\mathrm{TT}} \end{pmatrix},$$

where h_{ij}^{TT} is a transverse and traceless tensor, ψ_i , F_j transverse vectors, and the rest scalars.

The scalar E and the vector F_j are fixed by our choice of gauge $\partial^i h_{ij} = 0$. The analogous (2.16), (2.17) of the Proca conditions fix instead the longitudinal component of h_{0i} , i.e., the scalar B, and a combination of h_0^0 and h_i^i , that is the solution of (2.17).

The independent components of $h_{\mu\nu}$ are therefore: a transverse-traceless tensor h_{ij}^{TT} , a transverse vector ψ_i , and a scalar, combination of ϕ and χ .

Not all of these five independent components are propagating degrees of freedom. It was noticed in [8] that a common feature of Lorentz-violating theories is the presence of an instantaneous interaction. An explicit study of the equations of motion for each and all components of the perturbation $h_{\mu\nu}$ would show that both the vector and the scalar appear without time derivatives in their equations of motion. Hence, they can not be identified as propagating degrees of freedom, rather as an instantaneous background.

The propagating degrees of freedom of the model are equivalent to the ones of standard GR, i.e., a transverse-traceless tensor field. The difference is the presence of instantaneous

¹Álvarez et al. in [18] studied a similar model, where the action is symmetric under transverse diffeomorphism (TDiff), that is $h_{\mu\nu} \rightarrow h_{\mu\nu} + \partial_{(\mu}\xi_{\nu)}$ with $\partial_{\mu}\xi^{\mu} = 0$. They found that TDiff invariant theories contain an additional scalar field; in our case the action is invariant under spatial TDiff and no additional propagating degrees of freedom are present.

interactions. It should not surprise, in fact breaking the gauge invariance of the action does not allow to remove from scattering cross sections components of the metric, like the Newtonian potential h_0^0 , which is instantaneous in nature. Thus, they would appear not only in the exchange of virtual particles, but they would also manifest as physical phenomena.

3. Stopping the cosmological expansion

Having established the properties of the model under discussion, we shall now consider more specific examples. We choose $f(\sqrt{\gamma}) \equiv \gamma^{\alpha/2}$.

We are interested in particular to IR-modifications of gravity. We would like the deformation to be dominant only at late times during the cosmological evolution, i.e., when $a(t) \gg 1$. This can be achieved by assuming $\alpha > 0$.

The equations of motion for this particular choice of $f(\sqrt{\gamma})$ are

$$G_{\mu\nu} + \left[\Lambda - (\alpha + 1) \, m^2 \, \gamma^{\alpha/2}\right] g_{\mu\nu} - \alpha \, m^2 \, \frac{\gamma^{\alpha/2}}{|g^{00}|} \, \delta^0_\mu \, \delta^0_\nu = 0 \,. \tag{3.1}$$

On the FRW ansatz with zero spatial curvature, $ds^2 = -dt^2 + a(t)^2 d\vec{x}^2$, they become

$$\frac{\dot{a}^2}{a^2} - \frac{\Lambda}{3} + \frac{m^2}{3}a^{3\alpha} = 0.$$
(3.2)

the space-space component of (3.1) is proportional to the time derivative of (3.2) as it can be checked explicitly.

The additional term $a^{3\alpha}$ in the Friedman equation can be mimicked by a field with equation of state $w = -1 - \alpha$. For $\alpha > 0$, this fictitious field has w < -1.

When $m^2 > 0$, the energy density of the "field" has a wrong sign, while if $m^2 < 0$ its energy density is well-behaved. The former case will be discussed in detail in the following of the present section. The latter instead, being of a matter field with w < -1, will drive the cosmological expansion at an ever increasing acceleration rate, as opposed to de Sitter space-time of constant acceleration rate. Such a matter field (or deformation) will drive the Universe towards a final state sometimes called "Big Rip": the scale factor will diverge in a finite time.

Our intuition on the cosmological evolution for the $m^2 > 0$ case is scarcer, and this case should not be treated as for a matter field. Let us therefore investigate the solution step by step.²

It might be proven useful to discuss the solution of the (modified) Friedmann equation (3.2), as of a classical point-particle moving in a potential $V(a) = (-\Lambda/3 + m^2/3 a^{3\alpha})a^2$ with zero total energy. The potential is pictured in figure 1, and it should be noticed the presence of a turning point at $a^* = (\Lambda/m^2)^{1/3\alpha}$.

A classical point-particle moves down the potential hill from an initial position at a = 0until stops at a^* , because of the "attractive force" generated by the m^2 -term. After that

 $^{^{2}}$ The study of the cosmology dynamics in the presence of a matter field with negative energy density can be found in [20].



Figure 1: Potential for the analogous 1-dimensional dynamics, the dashed line is the potential for m = 0.

position is reached, it rolls down in opposite direction towards a = 0, which is reached in an infinite time.

This classical analog is easily translated into the cosmological evolution of the Universe. For small scale factor $a \sim 0$, the dynamics is dominated by the cosmological constant and the Universe is in an approximate de Sitter phase. During this time of exponential expansion, the "attractive force" of the m^2 -term will grow in intensity, until it will become dominant driving the cosmological evolution to a bounce at $a(t^*) = a^* = (\Lambda/m^2)^{1/3\alpha}$. Then, it will contract approaching at late times a (contracting) de Sitter phase.

We can solve analytically the equation of motion (3.2)

$$a(t) = \left(\frac{\Lambda}{m^2}\right)^{\frac{1}{3\alpha}} \left(\cosh\frac{\sqrt{3\Lambda}\,\alpha}{2}(t-t_0)\right)^{-\frac{2}{3\alpha}},\tag{3.3}$$

where t_0 is an integration constant to be fixed by imposing the initial condition $a|_{t=0} = 1$: $t_0 = \frac{2}{\sqrt{3\Lambda\alpha}} \cosh^{-1} \sqrt{\frac{\Lambda}{m^2}}.$

This exact solution is plotted for some positive value of α alongside with the Ricci curvature $\mathcal{R}(t)$ in figure 2. The features previously described are easily recognizable: at early and late time, the expansion is dominated by the cosmological constant and the Universe exponentially expands and contracts respectively.

The dynamics presented here is classical, and would be modified by quantum corrections. In particular it is known that, whenever the scalar curvature changes in time, particles are created via a phenomenon similar to the Hawking radiation for a Black Hole.

To understand it, let us consider a basis of particle creation/annihilation operators $\{A_k^{\dagger}, A_k\}$. The Fock space is defined by determining the vacuum state $|\Omega\rangle$, which is destroyed by all the annihilation operators, $A_k |\Omega\rangle = 0$, and then populating it by acting with the creation operators onto $|\Omega\rangle$.



Figure 2: Plot of a(t) and $\mathcal{R}(t)$.

The operators are constructed by canonically quantizing the fields of the particular theory at hand, but in doing so we should assume a particular background metric. Hence, if the metric is time-dependent, so are the operators. The vacuum $|\Omega\rangle$ is annihilated by all A_k at a given time, but not, in general, at every time. Thus as time goes by, the vacuum state will be in a superposition of particles, for $A_k(t)|\Omega\rangle \neq 0$ at a generic late time.

Since the background we found is time-dependent, we would like to study the effects quantum particle production has on the cosmological evolution.

For sake of clarity we specialize to $\alpha = 1$. Following [21], the energy density ρ_q created up to a time \bar{t} is given by the following expression

$$\rho_{q} = -\frac{1}{32\pi^{2}a(\bar{t})^{4}} \int_{-\infty}^{\bar{t}} dt_{1} \int_{-\infty}^{\bar{t}} dt_{2} \log \left| \frac{\eta_{1} - \eta_{2}}{\eta_{0}} \right| \mathcal{V}'(t_{1})\mathcal{V}'(t_{2}), \qquad (3.4)$$

where $\mathcal{V}'(t) = (1 - 6\xi)(\dot{a}^2 + a\ddot{a})$ for the FRW ansatz and $\xi = 1/6$ for conformally coupled fields; η is the conformal time defined as $d\eta = dt/a(t)$

$$\eta = \eta_0 I\left(\frac{1}{\cosh^2 \frac{\sqrt{3\Lambda}}{2}(t-t_0)}; -\frac{1}{3}, \frac{1}{2}\right) \quad \text{with } \eta_0 \equiv \left(\frac{m^2}{\Lambda}\right)^{1/3} \frac{B(-\frac{1}{3}, \frac{1}{2})}{\sqrt{3\Lambda}}, \qquad (3.5)$$

and I(z; a, b) is the regularized beta function I(z; a, b) = B(z; a, b)/B(a, b), with B(z; a, b)and B(a, b) being the incomplete and complete beta function respectively.

For sake of simplicity we approximate the quantum corrected dynamics as if particles were generated all at once when $\mathcal{R}(\bar{t}) = 0$. This rough approximation will not change the qualitative picture we will describe.

By evaluating the integral of (3.4) up to \bar{t} , we find the energy density to be

$$\rho_{\rm q} = \frac{\mathcal{I}}{288\pi^2} \Lambda^2 \sim \Lambda^2 \,, \tag{3.6}$$

where \mathcal{I} is the numerical result of the (adimensional) integral of (3.4).

As it was noticed in [21], ρ_q does not depend on the time when the de Sitter expansion stops, but solely on the change in the scalar curvature. In our model, this means ρ_q is proportional to Λ , but not to m^2 .



Figure 3: Evolution of the scale factor considering particle creation at \bar{t} .

After \bar{t} , the cosmological evolution will change to include the presence of matter. The analytical solution of the Friedmann equation is

$$a = \left(\frac{\Lambda}{2m^2} + \sqrt{\frac{\Lambda^2}{4\mu^4} + \frac{\rho_{\rm q}}{M_{\rm Pl}^2 m^2}}\right)^{1/3} \sin^{2/3} \left(\frac{\sqrt{\frac{3m^2 \rho_{\rm q}}{2M_{\rm Pl}^2 \Lambda^2}}(t-\bar{t})}{\sqrt{1 + \sqrt{1 + \frac{4m^2 \rho_{\rm q}}{M_{\rm Pl}^2 \Lambda^2}}}, \frac{1 + \sqrt{1 + \frac{4m^2 \rho_{\rm q}}{M_{\rm Pl}^2 \Lambda^2}}}{1 - \sqrt{1 + \frac{4m^2 \rho_{\rm q}}{M_{\rm Pl}^2 \Lambda^2}}}\right), \quad (3.7)$$

where $sn(u, \mu)$ is one of the Jacobi elliptic functions.

The plot of the scale factor a(t) obtained by matching (3.3) with (3.7) at $t = \overline{t}$ is shown in figure 3.

At $t \gtrsim \bar{t}$, the m^2 -term is driving the cosmological evolution, in fact to have it to stop the exponential expansion driven by Λ — that is to approach $\mathcal{R}(\bar{t}) = 0$ — its "strength" has to be of the same order of the cosmological constant. Because m^2 is dominant, the cosmological evolution does not change significantly from the one we described without matter. The scale factor reaches a maximum, and then starts to contract. The dynamics starts to depart from (3.3) at this point. Instead of approaching a contracting de Sitter phase with constant curvature Λ , at a distant but finite time in the future the Ricci curvature diverges: a "Big Crunch" occurs. At late times, when — during the contracting phase — $a(t) \ll 1$, the matter density is driving the evolution, and therefore the singularity is unavoidable.

This picture is similar to a supercritical Universe, with the difference that no criticality condition for ρ_q is present. The expansion is not stopped by the (supercritical) matter density, but by the IR modification we introduced. Thus no matter how small ρ_q is, the dynamics ends with a singularity at a finite time.

The model, despite being classically stable, is unstable under quantum corrections.

Moreover, even considering that the "Big Crunch" will occur in a (parametrically) very distant future, the exit of the de Sitter expansion, which could be associated with a period

of inflation, coincides with an era dominated by the m^2 -term. Thus, the model would not follow the known cosmological evolution of our Universe.

4. Cyclic universe

As we have discussed in the previous section, a "Big Crunch" is unavoidable once quantum corrections are taken into account. One way to avoid the singularity could be to modify once more the gravitational Lagrangian.

By studying the effect the m^2 -term has on the cosmological evolution, we understood that the modification we introduced slows down the expansion and ultimately stops it, after which the Universe goes through a contracting phase. If we could have a term that acts as the m^2 -term, namely that slows down the cosmological evolution, but is dominant only when the size of the Universe is small, we might stop the collapse before the scale factor reaches zero, and the curvature diverges. This can be attained by having chosen the exponent α to be negative.

The most general (and minimal) Lagrangian is

$$\mathcal{L} = \sqrt{-g} \left[\mathcal{R} - 2\Lambda + 2m^2 \gamma^{\alpha/2} + 2k^2 \gamma^{\beta/2} \right], \qquad (4.1)$$

where α is positive and β negative definite. On the FRW ansatz, the equation of motion is

$$\frac{\dot{a}^2}{a^2} + \frac{m^2}{3}a^{3\alpha} + \frac{k^2}{3}a^{3\beta} - \frac{\Lambda}{3} = 0.$$
(4.2)

We can study the dynamics of a(t) by analogy with a classical particle moving in the potential $V = (m^2 a^{3\alpha} - \Lambda + k^2 a^{3\beta})a^2/3$. The potential is plotted in figure 4, for $\beta < -2/3$, $\beta = -2/3$ and $\beta > -2/3$. The common feature of all the plotted potentials is the presence of two turning points $a_{(\pm)}$, between which the point-particle would oscillate back and forth. The model is of a cyclic Universe that "eternally" oscillates between a minimum and a maximum size. Between the two extrema, the Universe is in a de Sitter phase, either contracting or expanding.

We can study analytically the dynamics for the choice of $\alpha = 2/3$ and $\beta = -2/3$; the solution, shown in figure 5, is

$$a(t) = a_{(-)} \left[1 - \operatorname{sn}^2 \left(\operatorname{i} \sqrt{\frac{a_{(+)}^2 - a_{(-)}^2}{3}} m(t - t_0), \frac{a_{(-)}^2}{a_{(-)}^2 - a_{(+)}^2} \right) \right]^{1/2}, \quad (4.3)$$

where $a_{(\pm)} \equiv (\Lambda \pm \sqrt{\Lambda^2 - 4k^2m^2})/2m^2$, and $\operatorname{sn}(u, \mu)$ is the Jacobi sn elliptic function with periodicity³

$$T = \frac{4\sqrt{3}}{(\Lambda^2 - 4k^2m^2)^{1/4}} \left[iK\left(\frac{a_{(-)}^2}{a_{(-)}^2 - a_{(+)}^2}\right) + K\left(\frac{a_{(+)}^2}{a_{(-)}^2 - a_{(+)}^2}\right) \right],$$
 (4.4)

where $K(\mu)$ is the complete elliptic integral of first kind.

³More exactly, the Jacobi $\operatorname{sn}(u,\mu)$ is doubly periodic in the complex plane, that is $\operatorname{sn}(u + 4(K(\mu) + i n K(1-\mu)), \mu) = \operatorname{sn}(u,\mu)$ where $n \in \mathbb{Q}$. In the present case, n is fixed by requiring the period to be real.



Figure 4: Potential for the analogous 1-dimensional dynamics; the continuous line is for $\beta = -2/3$, the dashed for $\beta > -2/3$ and the dot-dashed for $\beta < -2/3$.



Figure 5: Plot of the scale factor a(t) in respect to time for the cyclic solution.

The presence of the k^2 -term effectively "screens" regions of small size. It slows and stops the contraction up to a non-zero scale factor $a_{(-)}$, in the same way that the m^2 -term stops the expansion at large scale.

Naïvely we would expect this model to be stable under quantum correction, for k^2 term could stop the collapse in presence of matter too. It is like the new term creates a potential barrier at small scales, preventing the scale factor to reach zero size. The presence of matter would lower the barrier, but by tuning k^2 we can avoid its disappearance.

The loop-hole in this argument is the periodicity of the model. At each cycle new matter is generated by quantum corrections as seen in the previous section. Eventually $\rho_{\rm q}$ will "overcome" the barrier leading towards a "Big Crunch", unless the k^2 -deformation is always dominant at small scale factors, no matter how big $\rho_{\rm q}$ is. This is obtained by taking $\beta < -1 - w$, where w is the equation of state for the particles generated through



Figure 6: Typical inflaton potential $V(\varphi)$.

quantum effects. For radiation w is equal to 1/3, thus if $\beta < -4/3$ a minimal size $a_{(-)}$ is always present.

In the approximation the m^2 -deformation and cosmological constant are negligible, which is always the case for $a(t) \ll 1$, the minimal size is

$$a_{(-)} \sim \left(\frac{k^2}{\rho_{\rm q}}\right)^{1/3|\beta+1+w|}$$
 (4.5)

Obviously, because more and more matter is generated at each cycle, a time will come when $a_{(-)}$ will be of Planck size, and therefore our semi-classical description will break down.

5. Embedding inflation

In the following, we would like to depart slightly from the previous discussions, that have considered the effects of gravity modifications in a de Sitter space-time.

We will consider a generic inflationary model, and describe how the terms we introduced would effect inflation, and what kind of bounds we could have. We will, therefore, consider modified gravity coupled to a scalar field, the inflaton. The plot of a typical inflaton potential $V(\varphi)$ is sketched in figure 6.

When the inflaton is atop the plateau, its energy density is dominated by the potential, namely $\dot{\varphi}^2 \ll V(\varphi)$, and approximately constant. This plays the role of the cosmological constant $\Lambda = V(\varphi)$. The space-time is exponentially expanding as long as the inflaton sits on the plateau.

This configuration is not stable, in fact it is energetically favorable for the scalar field to condense at the minimum of the potential, $\langle \varphi \rangle = \varphi_0$. When the inflaton condenses on its true vacuum, inflation ends, because the energy density is no longer a non-zero constant.

Once inflation is over, the inflaton decays more or less efficiently, depending on its coupling, into the Standard Model fields, reheating the Universe. From this point on, the cosmological evolution follows the Standard Model of Cosmology.

We can embed this general picture into our model and describe what constraints arise from cosmology and general requirements.

The Universe described by (4.3) — solution of the Friedmann equation — goes through periodic exponential expansions and contractions. The requirement to have the usual description from the inflation framework within this model demands the scalar field φ to condense into its true vacuum during an exponential expansion. Conversely, if it condensed during a contraction, the density perturbation originated during the inflationary period would be washed out at the unavoidable bounce.

Thus the inflaton can condense any time between $a_{(-)}$ and $a_{(+)}$, and this in turn provides a bound on the number of e-foldings N_e as a function of the parameters m^2 and k^2

$$N_e \ge \log \frac{a_{(+)}}{a_{(-)}} = \log \frac{\Lambda + \sqrt{\Lambda^2 - 4k^2 m^2}}{\Lambda - \sqrt{\Lambda^2 - 4k^2 m^2}}.$$
(5.1)

This relation can also be read as a bound on the parameter m^2 . Assuming m^2 to be much smaller than Λ and k^2 , we find the following bound

$$m^2 \lesssim \frac{\Lambda^2}{k^2} \exp[-2N_e]. \tag{5.2}$$

The modification we introduced must be exponentially smaller than the de Sitter curvature in order to satisfy the cosmological bound on N_e as it arises from matching the inflaton density perturbations with the anisotropies observed in the CMB ($N_e \gtrsim 60$).

Few comments should follow. Firstly, (5.2) is strictly an upper bound, for, if inflation end when the m^2 -term is dominant, that is when $a(t) \sim a_{(+)}$, the cosmological evolution could not start in a radiation-dominated epoch, as in the Standard Model of Cosmology.

Secondly, whether in our model inflation could be eternal — see, for instance [22, 23]. As we have throughly discussed in the previous sections, the additional potential-like term acts to stop the cosmological evolution bringing to an end any inflationary periods. It is not obvious how inflation could be eternal in a model that does not allow the space time to expand indefinitely.

In the standard picture of eternal inflation, false vacuum bubbles nucleate due to quantum fluctuations of the inflaton field. If the size of these bubbles is larger than their Hubble radius, they are causally disconnected from the "ambient" space. They will independently evolve and eventually pinch off.

As long as the deformation is negligible, the previous picture applies to our model as well. From the point of view of an observer living in one of these bubbles, they have no way to know anything about what is happening outside the horizon. It should be natural to expect that, once the "ambient" space bounces back, it keeps collapsing towards a de Sitter contracting phase, while the bubbles, unaware of anything happening outside their Hubble radius, expand until they pinch off. Hence new "baby" Universes are generated, and they will follow the same evolution of their "parent" Universe: the Universe will keep selfreplicating and at any time at least one patch will be in an inflationary regime.

Even though every bubble can expand only till reaching a finite size before bouncing back towards a contracting phase, the total number of nucleated bubbles will be infinite, hence eternal inflation is a possible scenario in our model.

5.1 A curiosity

Something curious happens for a particular, non-small value of km/Λ .

If $\Lambda = 2km$, the two turning points $a_{(\pm)}$ are equal as it follows from their definitions. At this particular value, the solution of the Friedmann equation is of a flat space-time.

For the tuned value of m^2 , an otherwise de Sitter space-time turns out to be effectively flat. This solution can be nicely understood from the analogous classical point-particle description. As we have already stressed, the cosmological constant acts as a repulsive force, whilst the m^2 -term as an attractive one. When $\Lambda = 2km$, those two forces balance exactly leading to the allowed range of a(t) to shrink down to a point. From the cosmological view point, the scale factor is time-independent and therefore the space-time is effectively flat.

Some fine-tuning is required for this solution, therefore it would be interesting to understand how (if) the solution is reached in a generic inflationary model.

Let us consider a linear potential $V(\varphi) = \Lambda_0 - \eta^2 \varphi$ with $\eta^2 \ll \Lambda_0$ to satisfy the slow-roll condition. In a usual inflationary model, inflation would be eternal, for no minimum of the potential of the scalar field is present. But an exponential expansion always stops when the m^2 -term becomes dominant, so it is not clear what dynamics will follow in our model.

The scalar field follows its own equations of motion coupled to gravity

$$\ddot{\varphi} + 3\frac{a}{a}\dot{\varphi} + V'(\varphi) = 0,$$

$$\frac{\dot{a}^2}{a^2} + m^2 a^2 + k^2 a^{-2} - \left[\frac{1}{2}\dot{\varphi}^2 + V(\varphi)\right] = 0,$$
 (5.3)

where $H = \dot{a}/a$, the Hubble constant, is a friction force for φ . In the slow-roll approximation, the inflaton reaches critical but small velocity $|\dot{\varphi}| \sim |V'(\varphi)/3H| = \eta^2/3H \ll V(\varphi)$.

The effective cosmological constant is $\Lambda \sim V(\varphi) = \Lambda_0 - \eta^2 \varphi$. Since φ is changing in time, so is Λ , but we can assume the slow-roll approximation to hold at any time, $\dot{\varphi}^2 \ll V(\varphi)$.

The Hubble constant $H^2 = (\dot{a}/a)^2$ is monotonically decreasing from its initial value Λ_0 . The lower limit is at $\Lambda \sim 2km$, where $\dot{\varphi}$ diverges. Thus, at this point, the inflaton is in a fast-roll regime with the kinetic energy dominating over the potential: $\dot{\varphi}^2 \gg V(\varphi)$.

We can drop the potential from the equations of motion (5.3)

$$\ddot{\varphi} + 3\frac{\dot{a}}{a}\dot{\varphi} = 0, \qquad (5.4)$$

$$\frac{\dot{a}^2}{a^2} + m^2 a^2 + k^2 a^{-2} - \frac{1}{2} \dot{\varphi}^2 = 0.$$
(5.5)

The dominant term is the kinetic energy $-\dot{\varphi}^2 = \dot{\varphi}_0^2 a^{-6}$ from (5.4) — that drives the expansion until, at very late times, the m^2 -term becomes dominant and leads to a contracting phase. Because $\dot{\varphi}_0^2 \gg m^2$, k^2 , the dynamics is that of a supercritical system, and the Universe would eventually collapse in a "Big Crunch" singularity.

6. Massive modification

In the present section, we will describe the effects a massive modification — of the kind first described in [8] — has when it is considered in conjunction with the deformation described in section 3.

Before starting on describing the cosmological evolution that arises when both deformations are taken into account, we should emphasize that the present modification is very different from the one so far described. We showed that the number of degrees of freedom is unaltered when $\sqrt{-g}f(\sqrt{\gamma})$ is introduced. Instead, in the case we shall present the number of propagating degrees of freedom will be different.

The Lagrangian of the model we would like to discuss is

$$\mathcal{L} = \sqrt{-g} [\mathcal{R} - 2\Lambda + 2\mu_1^2 \frac{(1-N)^2}{N} + 2\mu_2^2 \gamma^{\alpha/2}], \qquad (6.1)$$

with the following equations of motion

$$G_{\mu\nu} + \left[\Lambda - \mu_1^2 \frac{(1-N)^2}{N} - \mu_2^2 (\alpha+1)\gamma^{\alpha/2}\right] g_{\mu\nu} + \left[\mu_1^2 N(N^2-1) - \mu_2^2 \alpha N^2 \gamma^{\alpha/2}\right] \delta^0_{\mu} \delta^0_{\nu} = 0.$$
(6.2)

Notation is consistent with the one used before. N is the lapse function and γ_{ij} the induced spatial metric defined in (2.1). As we discussed in our previous letter [8], the modification $\sqrt{-g}(1-N)^2/N$ is the only one quadratic in the lapse function, over which fluctuations do not present instabilities like tadpoles and the Hamiltonian of (6.1) is bounded from below.

It is evident from the Hamiltonian

$$\mathcal{H} = \sqrt{\gamma} \left[NR^0 + N_j R^j + 2\Lambda N - 2\mu_1^2 (1-N)^2 - 2\mu_2^2 N \gamma^{\alpha/2} \right], \qquad (6.3)$$

that the shift function N_j remains a Lagrange multiplier, while the lapse N ceases to be it. The algebraic equation for N, that is $2\mu_1^2 N = R^0/2 + \Lambda + \mu_1^2 - \mu_2^2 \gamma^{\alpha/2}$, can be seen as a constraint for the degrees of freedom, thus on this background the gravitational field propagates three degrees of freedom, instead of the two as in the previous cases.

For this, the newly added modification is different from the ones we discussed previously: despite our analysis will follow closely the one of the previous sections, we should bear in mind that the models describe two very different fields. On the one hand, in section 3 the field is a massless tensor field propagating on a deformed (with respect to standard GR) background; on the other, in the present section we will discuss a model for a massive⁴ tensor field. The presence of the deformations will modify the cosmological evolution away from GR, as we will readily see in a moment.

⁴In this context, we call "massive" a field that propagates a number of degrees of freedom different than two; it should be noted also that the action is not symmetric under the Lorentz symmetry and therefore the intuition of a massive tensor field propagating five degrees of freedom is not necessarily respected.



Figure 7: Potential for the analogous 1-dimensional dynamics: continuous line is for $\mu_1 = \mu_2 = 0$, long dashes for $\mu_2 = 0$, short for $\mu_1 = 0$ and mixed dashed line for the general case.

On the ansatz $ds^2 = -N(t)^2 dt^2 + a(t) d\vec{x}^2$ and after a bit of algebraic manipulation of the equations of motion (6.2), we find the following equation for the scale factor a

$$\dot{a}^2 - \frac{\Lambda}{3}a^2 \left[1 + 2\frac{\mu_1^2}{\Lambda} (1 - \sqrt{1 + a^{-3}}) - \frac{\mu_2^2}{\Lambda} a^{3\alpha} \right] = 0, \qquad (6.4)$$

where the derivative \dot{a} is in respect to the proper time $d\tau = N dt$ and $N = \sqrt{1 + a^{-3}}$, relation that can be derived directly from the equations of motion.

It is useful to discuss the solution of (6.4) as for an analogous one-dimensional point particle moving in the potential $V(a) = -\frac{\Lambda}{3}a^2 \left[1 + 2\frac{\mu_1^2}{\Lambda}(1 - \sqrt{1 + a^{-3}}) - \frac{\mu_2^2}{\Lambda}a^{3\alpha}\right].$

The potential is depicted in figure 7 for various values of μ_1 and μ_2 . In particular, the continuous line is for $\mu_1 = \mu_2 = 0$ and the dynamics is that of a de Sitter space-time with cosmological constant Λ , as expected. The other two limiting cases are when one of the two deformations is absent; we find that for $\mu_1 = 0$ — short dash curve — the cosmological expansion proceeds as for a de Sitter universe until a maximum size $a_{(+)}$, after which it goes through an exponentially contracting phase. That is, the solution we discussed previously in so much detail is recovered.

For $\mu_2 = 0$ — long dash curve — a minimum size $a_{(-)}$ for the scale factor emerges. The dynamics is very much similar to the one we described for the cyclic universe model of section 4 when only the k^2 -deformation of (4.1) is present: the cosmological evolution is that of a contracting de Sitter universe until the Universe reaches size $a_{(-)}$, after which it bounces back toward an expanding de Sitter phase.

When, instead, both deformations are present, the analogous potential V(a) displays two turning points $a_{(\pm)}$. The dynamics can be read easily from it, and it is like the cyclic Universe model of section 4: the cosmological evolution goes through subsequent periods of expansion and contraction, between the minimum and maximum sizes $a_{(-)}$ and $a_{(+)}$. Those values are the zeros of V(a), and given that, when the size of the Universe is of order of $a_{(-)}$ the μ_2^2 -term is sub-dominant in respect to both the cosmological constant Λ and the μ_1^2 -term (and vice-versa for $a_{(+)}$), they are approximately:

$$a_{(-)} \sim \left(\frac{4\mu_1^4}{\Lambda(\Lambda + 4\mu_1^2)}\right)^{\frac{1}{3}},$$

$$a_{(+)} \sim \left(\frac{\Lambda}{\mu_2^2}\right)^{\frac{1}{3\alpha}}.$$
 (6.5)

The turning point $a_{(+)}$ is the same as the one we found in the model of section 3. On the other hand, $a_{(-)} \sim (\mu_1/\Lambda)^{2/3}$, when $\mu_1^2 \ll \Lambda$, is what would be the turning point for a deformation of the kind $\gamma^{\alpha/2}$ with $\alpha = -1/2$. This is not surprising. In the regime when μ_2 is sub-dominant, the cosmic evolution is driven by the μ_1^2 -deformation. From the equations of motion (6.4), we find cosmology being driven by a term $-\sqrt{1 + a^{-3}} \sim a^{-3/2}$ — that acts like $a^{3\alpha}$ for $\alpha = -1/2$, i.e., equivalent to the deformation of section 3 for a particular choice of α .

Again we should stress that, even though the backgrounds are alike, the fields propagating over them act very differently, for one carries two degrees of freedom, while the other three. Therefore, they describe two very different gravitational models.

7. Conclusions

Models, that describe gravity beyond Einstein GR, have been focussed mainly on higher derivative deformations, like $f(\mathcal{R})$ gravity for instance. In the present letter, we discussed a class of models, that modify gravity via potential-like terms.

The $f(\sqrt{\gamma})$ deformation we introduced does not add any derivatives of the metric to the action. Because of this, we argued that the propagating degrees of freedom are of a transverse-traceless tensor field, as in GR. We arrived to this conclusion by studying the perturbations of the metric in the Lagrangian formalism. Therein, it was also evidenced the presence of instantaneous interactions. This a-casual effect is characteristic of the models at hand, where Lorentz symmetry is broken explicitly. Their presence was firstly noted in [8], and we remind to it for a more detailed discussion.

We then studied the exact cosmological solution for some particular choices of $f(\sqrt{\gamma})$. The main feature is that the introduced term acts to generically stop the cosmological evolution. Depending on the details of the model, a bounce is generated during either a period of contraction or one of expansion. Independently from these details though, particles are produced via quantum effects at the bounce. Quantum corrections destabilize an otherwise stable classical solution. The result is to create a future "Big Crunch" singularity: after a finite time the scalar curvature will diverge and the Universe will shrink to zero size.

In more specific sections, we discussed the effects and bounds on the parameters that arise when the model is embedded into a generic model of inflation. In particular, we noticed that the dimension-full parameter m^2 has to be exponentially smaller than the Hubble radius during inflation, so to satisfy the bounds imposed by the CMB. Left to future investigations is the study of Schwarzschild solutions for the present model, like in [24–26]. It would be interesting to know what kind of effects the $f(\sqrt{\gamma})$ term has on a Schwarzschild-like solution, and to see how (if) it screens the gravitational field of a massive point-particle.

Also left out is the understanding of the UV completion of the model. We have always stressed that the introduced deformation $f(\sqrt{\gamma})$ should be thought as an effective term rising from some UV phenomena. The question is to exactly determine what kind of phenomena. Studies in this direction have flourished in the past few years, [4] and [11–17], and it would be interesting to have a fully consistent mechanism that breaks spontaneously the Lorentz symmetry and generates $f(\sqrt{\gamma})$ -term at low energies.

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